



Stepping into a new era of AI, attaining a new realm of settlement efficiency

We are upgrading our settlement system GBSX with AI technology, which will enable semi or fully automated daily settlement workflow according to the needs of individual brokers with the objective to save manpower resources and to improve settlement operation efficiency.

Fully-Automated Daily Settlement Processes

With the sequence and timing of each daily settlement process pre- set in GBSX in advance tailor made to each broker' s operation flow, GBSX will automatically complete daily settlement processes according to the predefined flow and schedule.

Users can view which processes have been completed easily in the Process Dashboard and notifications will be sent out to users to alert them should exceptional scenarios be encountered (eg. Such as Trades Matching failed since not all trades are imported from front office)

Semi-Automated Daily Settlement Processes

Alternatively, users can select to use the semi-automated mode which combines automation with minimal manual operation.

Under this mode, settlement users can initiate a sequence of settlement processes with one click. Again, the processes and their sequence included in the settlement process can be set with flexibility according to the workflow of individual broker.

Job Name	Start Time	Status	Message	Last Run Status (Start Date)	Parameter	Res
Daily Close Job		Pending				
Import Exchange Rate		Pending	Import HKEX Exchange Ra...	Failed on 2021-05-31 18:57:14	Program[Import Exchan...	Imq
Import Closing Price		Pending	Transfer Closing Price File...	Completed on 2021-05-31 18:57:19	Program[Import Cloin...	Imq
Import Client Trade(HKEX)		Pending	Total 0 exceptional record...	Failed on 2021-05-31 18:58:55	Program[Import Client ...	Imq
Import Broker Trade(UFX)		Pending	File checking failed	Failed on 2021-05-31 18:58:59	Program[Import Broker ...	Imq
Trade Matching		Pending	Matching completed! No ...	Completed on 2021-05-31 18:59:04	Program[Trade Matchin...	Tra
Auto Order Merge		Pending	Auto Merge succeeded!	Completed on 2021-05-31 18:59:09	Program[Auto Order M...	Au
Auto Settlement		Pending	Auto Settlement processe...	Completed on 2021-05-31 18:59:14	Program[AutoSettleme...	Au
Interest Calculation		Pending	Fee Calculation have not ...	Failed on 2021-05-31 18:59:19	Program[InterestCalcul...	Int
BSS Export		Pending	All files have been exporte...	Completed on 2021-05-31 18:59:24	Program[BSS Export] Fl...	BS

NEWS

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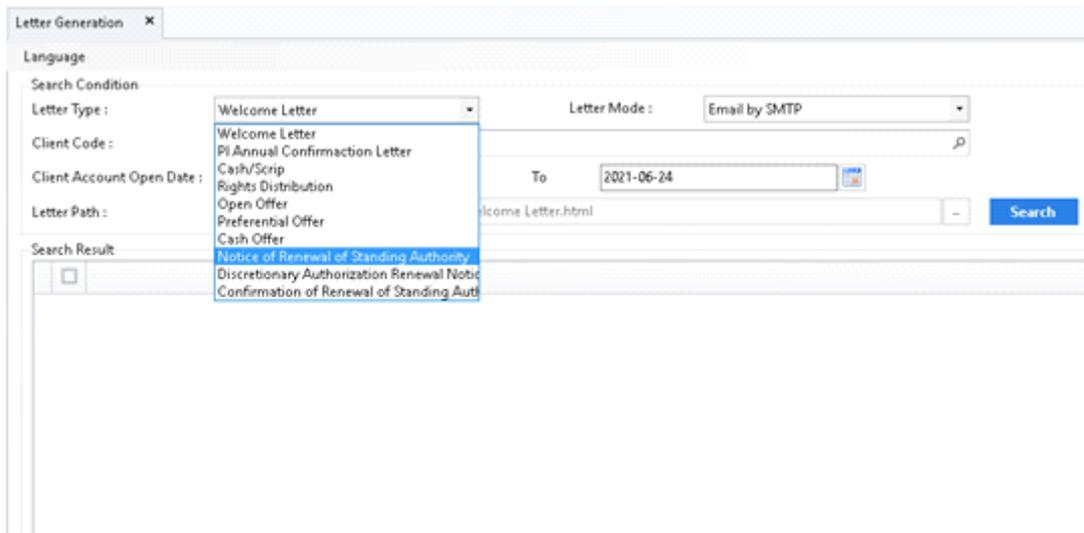
 BrokerSystems

AI Dashboard

Once login to GBSX, user can view the AI Dashboard which clearly displays all actions that need to be carried out by each user. In this way, the to-do list is automatically generated to each user to remind user what actions need to be done.

Notifications to clients

GBSX intelligently sends out email or SMS notifications to clients including welcome letter upon account activation, corporate actions details, Annual Margin review, etc. There is no need for users to check diary every day and to carry out these routine actions manually.



The screenshot displays the 'Letter Generation' window. It includes a 'Language' dropdown, a 'Search Condition' dropdown menu, and a 'Letter Mode' dropdown set to 'Email by SMTP'. The 'Client Code' and 'Client Account Open Date' fields are empty. The 'Letter Path' field contains 'Welcome Letter.html'. The 'To' field is set to '2021-06-24'. A 'Search' button is located at the bottom right. The 'Search Result' section shows a list of letter types: 'Welcome Letter', 'PI Annual Confirmation Letter', 'Cash/Script', 'Rights Distribution', 'Open Offer', 'Preferential Offer', 'Cash Offer', 'Notice of Renewal of Standing Authority', 'Discretionary Authorization Renewal Notice', and 'Confirmation of Renewal of Standing Authority'.

Please feel free to enquire and let us help you to embark on a new journey of automation.

For more information, please send email to marketing@ebrokernet.com

<http://www.ebrokersystems.com>